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01/07/2012

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Additional Information

Level 1 Regulation

Undertakings for Collective Investment in Transferable Securities Directive (UCITS) Directive 2009/65/EC

Topic

Disclosures

Subject Matter

Notification of UCITS and UCITS management companies; exchange of information between competent authorities

Question

Should notification by the UCITS to the competent authorities of the host Member States of a change in the name of the UCITS or in one of its investment compartments be accompanied by an attestation letter?

ESMA Answer

01-07-2012

Original language

[ESMA 34-43-392 UCITS Q&A, section 4, Q&A 2b]

No. When UCITS notify the competent authorities of home Member States of a change in the name of the UCITS or in one of its investment compartments, no UCITS attestation should be transmitted.