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Additional Information

Level 1 Regulation
Undertakings for Collective Investment in Transferable Securities Directive (UCITS) Directive 2009/65/EC

Topic
Disclosures

Subject Matter
Notification of UCITS and UCITS management companies; exchange of information between competent authorities

Question
Should UCITS that wish to market several investment compartments of the same UCITS undertake different notification procedures via their competent authority?

ESMA Answer

01-07-2012

Original language

[ESMA 34-43-392 UCITS Q&A, section 4, Q&A 1b]

No. UCITS can undertake a single notification procedure via their competent authority when they wish to market several investment compartments of the same UCITS in a Member State. Indeed, according to the Annex I of the Commission Regulation 584/2010, UCITS may indicate names of different investment compartments in the notification letter they transmit to their competent authority pursuant to Article 93(1) of Directive 2009/65/EC.