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Additional Information

Level 1 Regulation
Undertakings for Collective Investment in Transferable Securities Directive (UCITS) Directive 2009/65/EC

Topic
Disclosures

Subject Matter
Financial derivative instruments - KIID

Question
What is the scope of application of paragraphs 37, 39 and 40 of the guidelines?

ESMA Answer

09-01-2015

Original language

[ESMA 34-43-392 UCITS Q&A, section 3, Q&A 5c]

Paragraphs 37, 39 and 40 of the guidelines apply to any financial derivative instrument by which UCITS gain exposure to an asset.