

ESMA_QA_788

Status: Answer Published

Additional Information

Level 1 Regulation

Regulation (EU) 2022/858 - DLT Pilot Regime Regulation (DLTR)

Topic

DLT multilateral trading facility (DLT MTF)

Additional Legal Reference

RTS 23

Subject Matter

Financial Instruments Reference Data

Question

How should Field 5 of RTS 23 “Issuer or operator of the trading venue identifier” be populated for DLT financial instruments that are the digital representation of a previously issued financial instrument?

ESMA Answer

03-02-2023

Original language

Where the full set of characteristics of the DLT financial instrument remain the same as the traditional financial instrument, with the only difference being the technology used for the respective issuances, the issuer of the DLT instrument should be the same as that of the pre-existing financial instrument that is represented by the DLT one. Its LEI should thus be reported in Field 5 of RTS 23.

[DLT Financial Instruments Reference Data Q&A 3]