

Submission Date

09/12/2022

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Status: Answer Published

Additional Information

Level 1 Regulation

Markets in Financial Instruments Regulation (MiFIR) Regulation (EU) No 600/2014- MDP

Level 2 Regulation

RTS 22 - Regulation 2017/590 on the reporting of transactions to competent authorities

Level 3 Regulation

Guidelines on transaction reporting, order record keeping and clock synchronisation under MiFID II

Topic

* Transaction reporting

Subject Matter

National identifier for stateless natural persons

Question

What ISO 3166-1 country code should trading venues and investment firms use to identify stateless natural persons for the purposes of transaction reports?

ESMA Answer

31-03-2023

Original language

[ESMA 70-1861941480-56 MiFIR data reporting Q&A, Q&A 24.14]

When identifying stateless natural persons, trading venues and investment firms should use the ISO 3166 code "ZZ", followed by a CONCAT code generated in accordance with Article 6(4) of Commission Delegated Regulation (EU) 2017/590 (RTS22).