

Submission Date

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Additional Information

Level 1 Regulation

Markets in Financial Instruments Regulation (MiFIR) Regulation (EU) No 600/2014- MDP

Level 2 Regulation

RTS 22 - Regulation 2017/590 on the reporting of transactions to competent authorities

Level 3 Regulation

Guidelines on transaction reporting, order record keeping and clock synchronisation under MiFID II

Topic

* Transaction reporting

Additional Legal Reference

ESMA's Q&As on MiFIR data reporting

Subject Matter

Concept of underlying - reverse convertible bonds and reverse convertible notes

Question

Does the concept of an underlying for the purposes of Article 26(2)(b) of MiFIR extend to convertible bonds, reverse convertible bonds and reverse convertible notes?