



## Guidelines compliance table

### Guidelines on cooperation arrangements and information exchange (ESMA/2014/298)

The following competent authorities\* comply with ESMA's guidelines on cooperation arrangements and information exchange (ESMA/2014/298):

Member State		Competent authority	Complies
BE	Belgium	Financial Services and Markets Authority	Yes
BG	Bulgaria	Комисията за финансов надзор (FSC)	Yes
CZ	Czech Republic	Česká Národní Banka (CNB)	Yes
DK	Denmark	Finanstilsynet	Yes
DE	Germany	Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin)	Yes
EE	Estonia	Finantsinspeksioon (FSA)	Yes
IE	Ireland	Central Bank of Ireland	Yes
EL	Greece	Ελληνική Επιτροπή Κεφαλαιαγοράς (HCMC)	Yes
ES	Spain	Comisión Nacional del Mercado de Valores (CNMV)	Yes
FR	France	Autorité des Marchés Financiers (AMF)	Yes
HR	Croatia	Hrvatska agencija za nadzor financijskih usluga (HANFA)	Yes
IT	Italy	Commissione Nazionale per le Società e la Borsa (CONSOB)	Yes
CY	Cyprus	Επιτροπή Κεφαλαιαγοράς Κύπρου (CySEC)	Yes
LV	Latvia	Finanšu un kapitāla tirgus komisija (FKTK)	Yes
LT	Lithuania	Lietuvos Bankas	Yes
LU	Luxembourg	Commission de Surveillance du Secteur Financier (CSSF)	Yes
HU	Hungary	Magyar Nemzeti Bank (MNB)	Yes
MT	Malta	Malta Financial Services Authority (MFSA)	Yes
NL	Netherlands	Autoriteit Financiële Markten (AFM)	Yes
AT	Austria	Finanzmarktaufsicht (FMA)	Yes
PL	Poland	Komisja Nadzoru Finansowego (KNF)	Yes

PT	Portugal	Comissão do mercado de valores mobiliários (CMVM)	<b>Yes</b>
RO	Romania	Autoritatea de Supraveghere Financiară (ASF)	<b>Yes</b>
SL	Slovenia	Agencija za trg vrednostnih papirjev (ATVP)	<b>Yes</b>
SK	Slovakia	Národná Banka Slovenska (NBS)	<b>Yes</b>
FI	Finland	Finanssivalvonta (FSA)	<b>Yes</b>
SE	Sweden	Finansinspektionen (FI)	<b>Yes</b>
UK	United Kingdom	Bank of England (BoE)	<b>Yes</b>
		Financial Conduct Authority (FCA)	<b>Yes</b>
		Prudential Regulation Authority (PRA)	<b>Yes</b>

EEA EFTA State		Competent authority	Complies
IS	Iceland	Fjármálaeftirlitið (FME)	<b>Yes</b>
LI	Liechtenstein	Finanzmarktaufsicht (FMA)	<b>Yes</b>
NO	Norway	Finanstilsynet	<b>Yes</b>

## Notes

Article 16(3) of the ESMA Regulation requires national competent authorities to inform us whether they comply or intend to comply with each guideline or recommendation we issue that applies to them within two months of the guideline or recommendation being issued. If a competent authority does not comply or does not intend to comply it must inform us of the reasons. We decide on a case by case basis whether to publish reasons.

Although we try to ensure the accuracy of this document, we rely on information provided by the competent authorities and therefore cannot accept responsibility for its contents or any reliance placed on it.

For further information on the current position of any competent authority, please contact that competent authority. Contact details can be obtained from our website ([www.esma.europa.eu](http://www.esma.europa.eu)).

\* This table shows the compliance position of the competent authorities which are members of ESMA's Board of Supervisors as well as two other authorities which have notified ESMA of their compliance position, namely the UK BoE and the UK PRA. The EEA States other than the Member States of the European Union are not currently required to notify their compliance with ESMA's Guidelines. This table is based on information provided from those EEA States on a voluntary basis. ESMA has not received compliance notifications from any other competent authorities.