

ESMA Staff Stakeholder contacts in the First Quarter 2018

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
10/01/2018	B-scaled	Financial technology
10/01/2018	University of Luxembourg	ICOs
15/01/2018	BlackRock	ESA review, Passive investing, Investment stewardship, Impact of changes in macro factors, ETFs
18/01/2018	Temasek	Corporate governance including proxy advisors and Sustainability
19/01/2018	INS Markit	Brexit
22/01/2018	Humboldt-Universität zu Berlin	ICOs
22/01/2018	CFPB	Quarterly Market Intelligence Call
25/01/2018	KPMG	AIFMD Review - research project
26/01/2018	Vanguard	Impact of Costs and Charges on Funds Net Performance Returns
29/01/2018	IBM	Automated advice
01/02/2018	Tradeweb	Packages and TO for derivatives
05/02/2018	AFG	Cost & performance, stress tests, remuneration and PEPP
13/02/2018	Risk Control Limited	Presentation of report on European Corporate Bond Liquidity
14/02/2018	Bussmann advisory	ICOs
15/02/2018	Managed Funds Association	Short Selling Regulation, MiFID II
15/02/2018	AFME	MiFIR transparency issues
15/02/2018	ISDA	Benchmark; Common Domain Model; Contract continuity
16/02/2018	Afore Consulting	Brexit, MiFID implementation

19/02/2018	BlackRock	Brexit
20/02/2018	Deutsches Aktieninstitut	Brexit
20/02/2018	Fitch Ratings	Annual meeting on Market Intelligence
20/02/2018	Moody's	Annual meeting on Market Intelligence
20/02/2018	S&P	Annual meeting on Market Intelligence
21/02/2018	Vanguard	Asset management risk
22/02/2018	Irish Funds	MMF
28/02/2018	Fitch Ratings Ltd	Annual meeting on Market Intelligence
28/02/2018	BlackRock	Shadow banking and market finance
28/02/2018	ResearchPool	Research
01/03/2018	AFME, DB, JPMorgan, Credit-Suisse	SFTR: Margin lending follow-up
01/03/2018	Melonport	ICO
05/03/2018	BEHAVOX	RegTech/SupTech Market Intelligence
05/03/2018	Bandman advisors	Market intelligence on crypto-assets
06/03/2018	Citadel	MiFID II/MiFIR secondary market issues
08/03/2018	SOMO	Sustainability
12/03/2018	PWC	RegTech/SupTech Market Intelligence
13/03/2018	ISDA, BNP Paribas, Crédit Agricole CIB	ESAs review
15/03/2018	Nordic Securities Association	Amendments of RTS 1 and access to market data
15/03/2018	LabEx ReFi and Columbia University	Capstone Project on financial regulation in the EU
19/03/2018	AFME	Delegation arrangements; ESMA's Supervisory Coordination Network; Leverage in investment funds; passive vs active investing; value for money; "fitness check" of some reporting obligations (incl. transaction cost disclosure); cross-border cooperation
28/03/2018	PWC	Brexit