

ESMA Staff Stakeholder contacts in the Fourth Quarter 2017

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
03/10/2017	LCH	Brexit
03/10/2017	Institute of International Finance (IIF)	Current financial markets developments
05/10/2017	European Issuers, AFEP, Quoted Companies Alliance	ESMA consultation on Prospectus Regulation Level 2 technical advice
05/10/2017	Deutscher Derivateverband (DDV) Deutscher Sparkassen- und Giroverband (DSGV)	ESAs review, MiFID II
05/10/2017	EFAMA, SIFMA, BNP Paribas, Société Générale, GFXD, ISDA	Variation margin for physically-settled FX derivatives
06/10/2017	European Data Warehouse	Introduction to data
09/10/2017	Fitch Ratings	Endorsement regime
12/10/2017	ICE Clear US	Brexit, EMIR Review
13/10/2017	The Investment Association	MiFID II guidelines on suitability
17/10/2017	Markit	Trading obligation for derivatives
18/10/2017	DDRL	Portability Guidelines, DDRL new system, Transfer charges, Cloud Computing, Reporting queries.
19/10/2017	BDO, Deloitte, EY, GT, KPMG, Mazars	IFRS application issues
19/10/2017	Hong Kong Investment Funds Association	Benchmarks Regulation, AMLD, UCITS/AIFM, MiFID II.

19/10/2017	EACB	MIFID II investor protection work, Prospectus Regulation implementation, Big data/automated advice/Fintech
20/10/2017	Google	Advertisement policies: development and enforcement, Complex Speculative Financial Products
25/10/2017	ICE Clear Europe Ltd	Brexit, EMIR Review, stress tests
10/11/2017	EUSIPA	SRP Market
11/11/2017	Weefin	Presentation of business activity
13/11/2017	AmCham EU - Financial Services Committee Members	Brexit, ESAs and EMIR Reviews
14/11/2017	AMUNDI	ETF
15/11/2017	RIMES	Benchmarks Regulation
16/11/2017	Invesco	Cross-border distribution of funds, Delegation, Active/passive management, Investment firms review
18/11/2017	SAFE (Sustainable Architecture for Finance in Europe)	Sovereign CDS central clearing
21/11/2017	MSCI, COS&CO	Benchmarks Regulation
22/11/2017	MSCI	Environmental and social governance
23/11/2017	Italian Banking Association	MiFID II and PRIIPs
24/11/2017	Prometeia Spa	MiFID II – disclosure costs
24/11/2017	Associazione bancaria italiana; Verband Öffentlicher Banken; Fédération Bancaire Française	Prospectus Regulation
24/11/2017	Deloitte	Distribution systems of retail investment products across the EU
25/11/2017	GSAV	Securities lending, repo and collateral markets
30/11/2017	FIA	Indirect clearing
05/12/2017	ASPIM and AFG	PRIIPs related topics
06/12/2017	European Money Markets Institute	EONIA and Euribor,
07/12/2017	Vienna Stock Exchange	MiFID II / MiFIR Transparency calculations
08/12/2017	ICI Global	Guidelines on the endorsement regime
08/12/2017	Nomura Research Institute	ESEF, XBRL
12/12/2017	Solactive	Reasonable Commercial Basis (RCB)
13/12/2017	CITI	Consumer Fintech Policy
13/12/2017	EFAMA/ICMA	Leverage, AIFMD reporting, stress testing, MMF Level 2 work



13/12/2017	EFAMA	ESMA work on fees, Perimeter Strategy, Benchmarks Regulation (BMR)
19/12/2017	Penmon	XBRL and ESG
22/12/2017	The Disruptive Group	Financial innovation developments