

ESMA Staff Stakeholder contacts in the Third Quarter 2017

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA therefore publish information on ESMA staff meetings with external stakeholders from the previous quarter.

Date	Institution met	Main topics discussed
05/07/2017	Natixis AM	Financial innovation
05/07/2017	Coinsilium	ICOs, DLT
07/07/2017	MSCI	Benchmarks Regulation
10/07/2017	ISDA	<ul style="list-style-type: none"> - Central bank benchmarks, - Register of benchmarks, - Financial instrument if traded by a systematic internaliser only, - Transitional provisions, - Determination of amount payable, - Input data vs Methodology, - Readily available data - Use in the Union
10/07/2017	Axa IM	Financial innovation
11/07/2017	Irish Funds	Commission initiative on cross-border distribution, Brexit, AIFMD Q&A on delegation, UCITS Share Classes and application of supervisory convergence tools, MMFR, Asset segregation update, PEPP proposals
17/07/2017	Institutional Money Market Funds Association	Deliverables for ESMA under MMF Regulation
18/07/2017	ENI S.p.A., Enel, Georgeson	Proxy advisors' conduct, in particular in relation to the 2017 AGM season
19/07/2017	Global Rate Set Systems	Introduction of GRSS business; Organisation of GRSS business as administrator in the EU;

		Role of Colleges of supervisors under BMR; Oversight function; Transitional provisions.
20/07/2017	Allen & Overy with Bilateral Risk Management Services LLC (BRMS)	Bilateral risk management services
20/07/2017	Fundapps	Regtech insights
24/07/2017	BATS	Provision of quote streaming and order execution services to SIs
25/07/2017	Deutscher Sparkassen- und Giroverband	Product governance
25/07/2017	Alyne	Regtech insights
26/07/2017	ECSDA	CSDR implementation
26/07/2017	NetGuardian	Regtech insights
26/07/2017	Sybenetix	Regtech insights
27/07/2017	Algodynamics	Regtech insights
27/07/2017	Complyadvantage	Regtech insights
27/07/2017	Percentile	Regtech insights
28/07/2017	Suade	Regtech insights
03/08/2017	EFAMA-BVI- AXA Investment Managers	Interaction BMR and ESMA GL on UCITS and ETF, Transitional provisions under the BMR, Cost of the use of benchmarks
03/08/2017	BVI-EFAMA-UNION-AllianzGI	Fund Stress Testing and fund data provisioning
31/08/2017	Tradeweb	MiFID II / MiFIR implementation issues
05/09/2017	Union-Investment	Leverage/Stress Testing
07/09/2017	HSBC	Brexit, MiFID II
11/09/2017	The Association for Real Estate Securitization (ARES)	AIFMD passport
11/09/2017	The Institutional Limited Partners Association (ILPA).	EU regulation for alternative investments
13/09/2017	Deutsche Boerse Group	Transitional provisions for third country administrators, data sourcing for regulated-data benchmarks, the relation of the concepts regulated data, readily available data and contribution of input data
15/09/2017	Fair Cost Index (FCI)	Benchmarks Regulation
20/09/2017	ICMA	Product governance and impact on retail clients investing in bonds
20/09/2017	European Data Warehouse	STS securitisation and NPLs

21/09/2017	European Saving Banks Group	MiFID II suitability requirements
21/09/2017	Coalition for Derivatives End-Users	EMIR review
22/09/2017	European DataWarehouse	Introductory meeting
26/09/2017	ISLA	SFTR, collateral reporting and re-use, ICSDs fails reporting, TR pricing and market changes regarding approaches to SFT collateralisation, securities lending markets
26/09/2017	GeoEconomica	Current financial markets developments