

ESMA Staff Stakeholder contacts in the Second Quarter 2017

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders. This type of information will be published in the beginning of January, April, July and October for the previous quarter.

Date	Institution met	Main topics discussed
04/04/2017	The Best Practice Principles Group (BPPG)	Revision of the Shareholder Rights Directive
05/04/2017	Swedish Bankers Association	Stockholm Interbank Offered Rate (Stibor)
05/04/2017	Synswap	Distributed Ledger Technologies (DLT) projects
06/04/2017	Research R3	Distributed Ledger Technologies (DLT) projects
19/04/2017	European Fund and Asset Management Association (EFAMA)	MiFID costs and charges
20/04/2017	Investment Association (IA)	MiFID costs and charges
21/04/2017	Association Française de la Gestion financière (AFG)	MMF, PRIIPs, Research under MiFID, Benchmarks vs UCITS ETF Guidelines, Share classes, ESAs review, Brexit
27/04/2017	Alternext	EU Growth prospectus
03/05/2017	International Monetary Fund (IMF)	OTC Derivatives Central Clearing
08/05/2017	FIA, BDEW, IOGP, Trayport, EFET, Energy UK, Eurogas, Eurelectric, DAI BDI	Commodity derivatives regulatory frameworks under MIFID II/ MIFIR
11/05/2017	Futures Industry Association (FIA)	Indirect clearing draft Regulatory Technical Standard
11/05/2017	Regis-TR	Trade Repositories policy
15/05/2017	Deutsche Boerse	Eurex implementation approach of the draft RTS on indirect clearing

16/05/2017	Eurex Clearing	CCP Recovery and Resolution
22/05/2017	Investment Company Institute (ICI Global)	ESMA's work on delegation
22/05/2017	Vanguard	Fund liquidity risk management / fund stress testing
23/05/2017	FLEISHMAN HILLARD, QUANTLAB, HRT	Systematic Internalisers
24/05/2017	Bloomberg	Systematic Internaliser regime and the availability of data for market participants.
24/05/2017	FESE Economics and Statistics Committee	Financial Instruments Reference Data System (FIRDS)
02/06/2017	Association Française de la Gestion financière (AFG)	Regulatory arbitrage risks associated to Brexit
06/06/2017	AXA	EU High-Level Group on Sustainable Finance
09/06/2017	European Fund and Asset Management Association (EFAMA)	Identification of closet indexing and fund disclosure requirements
13/06/2017	European Federation of Financial Analysts Societies (EFFAS)	Implementation of the ESMA Guidelines/ MiFID II
13/06/2017	Six SIS	Central Securities Depositories Regulation (CSDR) and EMIR issues
13/06/2017	European Issuers	Provision of Market Abuse Regulation (MAR)
14/06/2017	EBF (4th MiFID Workshop)	MiFID implementation
15/06/2017	Investment company institute (ICI Global)	Access to US market for EU funds
20/06/2017	Federation of European Securities Exchanges (FESE)	Level 3 measures under the Benchmarks Regulation (BMR)
20/06/2017	Association for Financial Markets in Europe (AFME)	Level 2 Prospectus Regulation
21/06/2017	Citadel	Trading obligation for derivatives, Pre-arranged trading, and Non-discriminatory access to TV
22/06/2017	Federated Investors	Money Market Fund Reform
23/06/2017	Workiva, Europeanissuers	European Single Electronic Format
29/06/2017	Managed Funds Association	European Financial Regulatory Reform