



European Securities and
Markets Authority

Declaration of Interests (DoI)

First Name	<i>Thorsten</i>
Surname	<i>Pötzsch</i>
Authority / MS	<i>BaFin – Bundesanstalt für Finanzdienstleistungsaufsicht</i>
Current ESMA involvement	<i>BoS member</i>



I declare that I have read the Decision of the Board of Supervisors adopting a Policy on Independence and Decision-Making Processes for avoiding Conflicts of Interest (Conflict of Interest Policy) for Non-Staff (ESMA-22-328-402) of 29 January 2020 and that I am aware of my obligations.



I do hereby declare on my honour that, to the best of my knowledge, the only interests¹ that create a Conflict of Interest² as defined in Article 1(2)(c) in respect of my activities which fall under ESMA's scope of action are those listed in the annex.



Whenever I have a Conflict of Interest, I will alert ESMA.

Date: <i>27.5.20</i>	Signature: <i>signed</i>
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Please send a signed copy of this form to ESMA's Ethics Officer at ethics@esma.europa.eu

¹ as defined in Article 1(2)(a) and (b)

² conflict of interest means a conflict between the public duty of ESMA and any interests of an individual, interests of his/her close family members or of his/her employer, which could improperly influence the performance of his/her official duties and responsibilities, including the duty to act in the sole interest of the Union as a whole, or could compromise his/her impartiality, objectivity or independence



Annex to Declaration of Interests

In all cases, please provide as many details as possible (in the case of a body or employer, full name, location, private or public nature and your role, if interest of close family member, whether interest is current or was in the past 2 years).

Interests to be declared in accordance with Art 1(3) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/ Reasons why my independence is impaired
I. Holding of Financial Instrument	1. 2.		
II. Membership in a managing body or equivalent structure or a trade association	1. 2.		
III. Employment or Consultancy	1. 2.		

Interests to be declared in accordance with Art 1(4) of the Conflict of Interest Policy

Nature of interests	Period (from /till)	Organisation	Subject matter/ Reasons why my independence may be impaired
IV. Economic Interest	1. 2.		
V. Membership in a managing body or equivalent structure or a trade association	1. 2.		
VI. Employment or Consultancy	1. 2.		
VII. Intellectual Property Rights	1. 2.		
VIII. Other	1. 2.		