Budget 2023

ESMA Budget Revenues for 2023

Source of revenue	Budget 2023 In Euro
Contribution from National Competent Authorities from the Member States	29,162,058
Contribution from the European Union	18,588,578
Credit Rating Agencies Fees	10,220,532
Trade Repositories Fees	1,930,844
SFTR Fees	870,348
STS Fees	376,137
EMIR 2.2. Fees	5,958,208
Benchmarks Fees	813,685
DRSP Fees	2,700,000
Contribution from Observers	902,850
Contribution from National Supervisory Authorities for delegated tasks	3,334,000
Additional EU funding stemming from service-level agreements (FFR Art.43.2)	347,371
TOTAL	**75,204,611**

ESMA Budget Expenditure for 2023

TITLE Chapter	Description	2023 budget appropriations In Euro
1	**STAFF EXPENDITURE**	
11	Staff in active employment	46,613,861
12	Expenditure relating to staff management and recruitment	550,000
14	Socio-medical infrastructure	915,000
16	Training	380,000
1	**TOTAL TITLE I**	**48,458,861**

2	**INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE**	
20	Rental of building and associated costs	7,045,080
21	Information and communication technology	400,000
23	Current administrative expenditure	915,000
27	Representation expenses, receptions and events	5,700
2	**TOTAL TITLE II**	**8,365,780**

3	**OPERATING EXPENDITURE**	
31	Training for a common supervisory culture	72,000
32	Collection of information: IT projects	12,715,494
34	Legal advice	135,000
35	Access to data for Economic Research	750,000
36	Mission expenses, travel and incidental expenses	421,059
37	Communications	401,417
38	Meeting expenses	410,000
39	Services on operational matters	75,000
3	**TOTAL TITLE III**	**14,979,970**

4	**DELEGATED TASKS and ESAP**	
40	Delegated tasks and ESAP	3,400,000
4	**TOTAL TITLE IV**	**3,400,000**
	TOTAL EXPENDITURE	**75,204,611**

2023 Establishment Plan

Function group and grade	Posts (temporary agent)	Function group and grade	Posts (temporary agent)
AD 16	1		
AD 15	3	AST 11	
AD 14	1	AST 10	
AD 13	2	AST 9	
AD 12	9	AST 8	
AD 11	11	AST 7	
AD 10	29	AST 6	4
AD 9	45	AST 5	9
AD 8	39	AST 4	
AD 7	40	AST 3	
AD 6	38	AST 2	
AD 5	32	AST 1	
AD total	**250**	**AST total**	**13**
TOTAL 263			

ESMA63-43-2327

2023 BUDGET - REVENUE (in EUR)

2023 REVENUE	2022 REVENUE (following budget amendment n.2)	2023 REVENUE opening budget	% revenue source in 2023	% difference revenue source 2023 vs 2022
Fees Direct Supervision - TOTAL	**22,228,234**	**22,869,754**	**32.0%**	**2.9%**
CRA	10,021,978	10,220,532	14.3%	2.0%
TR	1,915,408	1,930,844	2.7%	0.8%
SFTR	841,322	870,348	1.2%	3.5%
SR	411,648	376,137	0.5%	-8.6%
EMIR 2.2.*	5,247,111	5,958,208	8.3%	13.6%
BMRK	740,767	813,685	1.1%	-
DRSP **	3,050,000	2,700,000	3.8%	-
NCAs contribution - TOTAL	**28,138,773**	**30,064,908**	**42.0%**	**6.8%**
NCAs contribution	28,138,773	30,064,908	42.0%	6.8%
EU subsidy - TOTAL	**17,301,544**	**18,588,578**	**26.0%**	**7.4%**
EU subsidy	17,301,544	18,588,578	26.0%	7.4%
SUB-TOTAL BUDGET (Fees, NCAs contributions, EU Subsidy)	**67,668,551**	**71,523,240**	**100%**	**5.70%**
Annual contribution of NCAs and other external entities to TRACE	400,000	334,000		
Contribution for ESAP***		3,000,000		
Additional EU funding stemming from service-level agreements (FFR Art.43.2)		347,371		
TOTAL BUDGET ****	**68,068,551**	**75,204,611**		

Due to rounding, there may be a €1 difference in the sub-totals and totals.

* The TC CCPs fees under EMIR 2.2 for 2023 are split as follows: €2,539,991 from Tier 1 TC CCPs and €3,418,217 from Tier 2 TC CCPs.

** The DRSP fees for 2023 are split as follows: €1,422,383 from ARMs and €1,277,617 from APAs.

**** ESMA plans €347,371 additional funding for the SLA with DG REFORM, for the establishment of the "EU - Supervisory Digital Academy". This funding (C4) is not part of ESMA's core budget (C1).

2023 BUDGET - EXPENDITURE (in EUR)

TITLE / Chapter / Article / Items		*2022 EXPENDITURE (estimated at year-end, including amendment and transfers)	2023 EXPENDITURE opening budget	% difference 2023 vs 2022	BUDGETARY COMMENTS
1	TITLE 1 - STAFF EXPENDITURE				
11	**Staff in active employment**				
110	*Staff under Staff Regulations*				
1100	Staff salaries, allowances, insurance and grants	38,182,627	42,413,861	11%	Includes all salary related costs for TAs, CAs, SNEs and trainees
112	*Temporary workers*				
1120	Temporary workers	0	0	0%	Interims
113	*Contributions by the agency to pension scheme*				
1133	Contribution by the agency to pension scheme	3,649,525	4,200,000	15%	Pension contribution in line with the Staff Regulations
12	**Expenditure relating to staff management and recruitment**				
120	*Expenditure relating to staff management and recruitment*				
1200	Expenditure relating to staff management and recruitment	640,000	550,000	-14%	Recruitment and management costs, reimbursement of candidates, visibility of vacancy notices
14	**Socio-medical infrastructure**				
140	*Medical service*				
1400	Medical service	60,000	65,000	8%	Medical check-ups for staff, in line with the Staff Regulations
141	*Schools and kindergartens*				
1410	Schools and kindergartens	330,000	350,000	6%	Expenditure in line with Social Measure
142	*Canteen*				
1420	Canteen and associated services	332,000	500,000	51%	ESMA's contribution to canteen costs and others
16	**Training**				
160	*Training*				
1600	Training	408,000	380,000	-7%	Training and team building programmes
1	**TOTAL TITLE 1**	**43,602,152**	**48,458,861**	**11%**	
2	TITLE 2 - INFRASTRUCTURE AND ADMINISTRATIVE EXPENDITURE				
20	**Rental of building and associated costs**				
200	*Building rental, charges and taxes*				
2000	Building rental, charges and taxes	6,000,000	6,555,080	9%	Building rental and associated costs
202	*Maintenance, works and refurbishment*				
2020	Maintenance, works and refurbishment	120,000	90,000	-25%	Building maintenance and works
209	*Other expenditure on building, security and movable property*				
2090	Other expenditure on building, security and movable property	470,000	400,000	-15%	Other expenditure related to building, building security and furniture
21	**Information and communication technology**				
210	*Information and communication technology*				
2100	ICT Office Supplies	529,000	400,000	-24%	ICT office equipment and supplies
23	**Current administrative expenditure**				
230	*Current administrative expenditure*				
2300	Facility management services	230,000	300,000	30%	Facility management including postal charges and stationery costs
2304	Services on administrative matters	659,000	500,000	-24%	General administrative costs including cybersecurity
2305	Subscriptions	141,116	110,000	-22%	General subscriptions
2308	Library expenditure	7,000	5,000	-29%	Specialised books
27	**Representation expenses, receptions and events**				
270	*Representation expenses, receptions and events*				
2700	Representation expenses, receptions and events	6,000	5,700	-5%	Representation expenses involving participation of third parties
2	**TOTAL TITLE 2**	**8,162,116**	**8,365,780**	**2%**	
3	TITLE 3 - OPERATIONAL EXPENDITURE				
31	**Training for a common supervisory culture**				
310	*Training costs*				
3100	Training costs	14,700	72,000		Training of NCAs on common supervisory culture and supervisory convergence
32	**Collection of information: IT projects**				
320	*ICT projects costs*				
3200	ICT projects costs	13,722,167	12,715,494	-7%	IT project costs. In line with the IT Work Programme
34	**Legal advice**				
340	*Legal advice*				
3400	Legal advice	80,000	135,000	69%	Legal related costs
35	**Access to data for Economic Research**				
350	*Access to data for Economic Research*				
3500	Access to data for Economic Research	670,000	750,000	12%	Acquisition of operational datasets and subscriptions
36	**Mission expenses, travel and incidental expenses**				
360	*Mission expenses*				
3600	Mission expenses	180,000	421,059	134%	Business trips related costs
37	**Communications**				
370	*Communications*				
3700	Translation and interpretation	870,679	291,417	-67%	Translation of guidelines and other relevant documents
3701	Communications services	89,184	110,000	23%	Communications
38	**Meeting expenses**				
380	*General meeting expenses*				
3800	General meeting expenses	92,001	200,000	117%	General meeting costs
381	*Stakeholders Groups - Consultations*				
3810	Stakeholders Groups - Consultations	70,000	95,000	36%	All stakeholder groups related expenditure
382	*Governance*				
3820	Governance	19,302	60,000	211%	Management Board and Board of Supervisors
383	*Board of Appeal*				
3830	Board of Appeal costs	29,600	55,000	86%	Board of Appeal costs
39	**Services on operational matters**				
390	*Services on operational matters*				
3900	Services on operational matters	66,651	75,000	13%	Studies, impact assessments and other services for operations
3	**TOTAL TITLE 3**	**15,904,283**	**14,979,970**	**-6%**	
	TOTAL EXPENDITURE TITLES 1, 2, 3	**67,668,551**	**71,804,611**	**6%**	
4	TITLE 4 - DELEGATED TASKS				
40	**Single Interface to Trade Repositories (TRACE)**				
400	*Single Interface to Trade Repositories*				
4000	Single Interface to Trade Repositories	400,000	400,000	0%	Out of which, ESMA's contribution for 2023 is €66,000
42	**European Single Access Point (ESAP)**				
420	*European Single Access Point*				
4200**	European Single Access Point		3,000,000	100%	
4	**TOTAL TITLE 4**	**400,000**	**3,400,000**	**750%**	
	TOTAL EXPENDITURE INCLUDING ALL TITLES AND DELEGATED TASKS	**68,068,551**	**75,204,611**	**10%**	

The 2022 final expenditure amounts per budget line may differ from the figures in this table, as ESMA may process additional budget transfers by the end of the year in order to optimise the yearly budget execution.