

## ESMA Staff Stakeholder contacts in the Second Quarter 2020

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

| Date       | Institution met  | Main topics discussed   |
|------------|--|---|
| 02/04/2020 | Large accounting firms                                     | Accounting for lease reliefs  |
| 03/04/2020 | FESE   | Liquidity Contracts, COVID19 measures, MiFID Review   |
| 07/04/2020 | Cambridge Institute for Sustainability Leadership          | CISL work with asset managers to develop TCFD-compliant metrics to measure investment impact        |
| 08/04/2020 | ECSDA  | CSDR implementation   |
| 08/04/2020 | Kayrros  | ESG   |
| 08/04/2020 | ICE data indices   | the suspension of the rebalancing of some benchmarks  |
| 09/04/2020 | Large accounting firms                                     | Accounting for lease reliefs  |
| 14/04/2020 | Vanguard   | Covid-19 - market impacts; Vanguard's European investor manifesto                                   |
| 15/04/2020 | Morningstar  | Morningstar research on fund costs, US index funds  |
| 16/04/2020 | EVIA   | Trading venue perimeter, RTS 10, Transparency, FX and commodities                                   |
| 20/04/2020 | Large accounting firms                                     | Accounting for lease reliefs  |
| 22/04/2020 | ECSDA, EACH  | Collection and distribution of cash penalties (Art17 vs Art 19 of the RTS on Settlement discipline) |
| 27/04/2020 | Irish Funds Industry Association                           | ESMA annual statistical Report on Cost and Performance of retail investment products                |
| 27/04/2020 | Barclays (AFME), Euronext, Rosenblatt Securities, Tradeweb | MiFID Annual Statistical Report project, equity indicators and equity market developments           |
| 30/04/2020 | Aperture   | ESMA guidance on performance fees in UCITS and certain types of AIFs                                |



| 30/04/2020 | FIX-MMT  | Use of FIX MMT standards, Potential impact of MMT changes, • ESMA consultation process under way for SI/OTC trade reporting |
|------------|--|---|
| 04/05/2020 | Bloomberg, Goldman Sachs, ICMA, Morgan Stanley`  | MiFID Annual Statistical Report project, bond indicators and bond market developments                                       |
| 08/05/2020 | AIMA and MFA                                     | ESMA possible guidance on post-trade name give-up for centrally cleared derivatives   |
| 12/05/2020 | SG3  | EURIBOR fallback rates  |
| 13/05/2020 | Euroclear  | Covid-19 impact on CSDs, settlement discipline delay  |
| 13/05/2020 | ECSDA  | Covid-19 impact on CSDs, settlement discipline delay  |
| 19/05/2020 | German Marshall Fund in the United States        | Organisation of a roundtable  |
| 27/05/2020 | RepRisk  | ESG ratings data subscription   |
| 29/05/2020 | Eurex  | Risk to the clearing obligation implementation, CCP functioning during Covid-19, CCP R&R                                    |
| 02/06/2020 | Sustainability Accounting Standards Board (SASB) | Review of the Non-Financial Reporting Directive and Standardisation in non-financial reporting                              |
| 03/06/2020 | ISS  | ESG ratings data subscription   |
| 08/06/2020 | ECSDA  | CSDR settlement discipline  |
| 08/06/2020 | MSCI   | ESG ratings data subscription   |
| 08/06/2020 | FTSE Russell                                     | CP on RTS on Benchmarks Regulation  |
| 09/06/2020 | Afore and Google                                 | Cloud computing   |
| 11/06/2020 | ASIFMA   | EU Policy Response to Covid-19  |
| 12/06/2020 | Bloomberg  | ESG ratings   |
| 16/06/2020 | Tradeweb   | ESMA's opinion on third-country venues  |
| 17/06/2020 | AFME   | Pending CSDR issues, Covid-19 impact, and update on AFME dialogue with European Commission                                  |
| 17/06/2020 | Large accounting firms                           | Amendments to IFRS 16   |
| 18/06/2020 | SG3  | EURIBOR fallback rates  |
| 18/06/2020 | AIMA   | Financial stability / macro-prudential topics Private credit matters  |
| 18/06/2020 | EEX  | Status of commodity markets and MiFID II Review   |
| 19/06/2020 | SI Registry Data Group                           | SI calculations for derivatives   |
| 19/06/2020 | EuropeanIssuers' Smaller Issuers Committee       | CP on SME GMs under MiFID II, the RTS on liquidity contracts and the ITS on insider lists                                   |
| 22/06/2020 | STRD   | EEAP project status   |



| 22/06/2020 | EFSA       | Investor protection issues. RTS 27. Product governance and costs and charges. |
|------------|------------|---|
| 23/06/2020 | ECSDA      | Survey on possible topics for the CSDR review, Pending CSDR issues            |
| 24/06/2020 | EACH       | CSDR review; further postponement of settlement discipline                    |
| 26/06/2020 | Corefiling | ESEF Quality assurance  |
| 29/06/2020 | Vanguard   | Research studies focusing on active-passive funds and ETFs funds              |