

ESMA Staff Stakeholder contacts in the Third Quarter 2019

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
01/07/2019	Consumer Financial Protection Bureau	Quarterly update
01/07/2019	European Savings and Retail Banking Group	PRIPPS, costs and charges
02/07/2019	Allianz SE	Transparency Directive, MAR and APM guidelines
02/07/2019	Credit Suisse	Cloud Computing
02/07/2019	AFME	Cloud computing
02/07/2019	AWS	Cloud computing
03/07/2019	Accounting firms Deloitte, BDO, EY, GT, KPMG, Mazars, PwC	Accounting topics relevant for 2019 half year closings
03/07/2019	Cognizant	CSDR settlement discipline issues
05/07/2019	MerkleData	Crypto-assets
09/07/2019	AFME	Profit forecasts
09/07/2019	ICE Benchmark Administration (IBA)	Term structures
09/07/2019	Rimes	Benchmarks database and Market surveillance for MAR
10/07/2019	Afore	Brexit Market implications
25/07/2019	Tradeweb	Trading obligation for derivatives (DTO)
02/08/2019	German Savings Banks Association (DSGV)	Asset management risks and MiFID
07/08/2019	London Metal Exchange (LME)	LME Position Management Controls
07/08/2019	Intercontinental Exchange Futures Europe (IFEU)	ICE Position Management Controls

21/08/2019	AcadiaSoft	Update on the preparation of market participants in Phase 4, 5 and with respect to start posting Initial Margin
22/08/2019	Allen & Overy	Summaries in base prospectuses
22/05/2019	Trade associations (AIMA, EFAMA, BVI, IA)	Market Data, Shares trading obligation, Periodic auctions
21/05/2019	European Federation of Energy Traders (EFET)	Position Limit regime, Position reporting, Position management controls, Ancillary Activity Test (AAT)
27/08/2019	London Metal Exchange (LME)	Position Limit regime, Pre-Trade Transparency, Market Abuse, Brexit
28/08/2019	Tradition Paris	Preparation for Brexit
29/08/2019	Liquidshare	Blockchain project
30/08/2019	Markit IHS	Consolidated tape (CT) for equity instruments
30/08/2019	Bloomberg	Preparation to Brexit
03/09/2019	Deloitte, BDO, EY, Mazars, KPMG, GT, PwC	Accounting topics relevant for financial sector in 2019
05/09/2019	TP ICAP	Preparation for Brexit
05/09/2019	AXA IM	Costs for market data
05/09/2019	ANNA Derivatives Services Bureau (DSB)	Introduction meeting
07/06/2019	S&P	ESMA Benchmarks register
06/09/2019	Oxford University	Market abuse and market surveillance
09/09/2019	International Accounting Standards Board (IASB)	Consistent application of IFRS, development of IFRS
09/09/2019	UNPRI – Principles for Responsible Investment Conference	Sustainable Finance
10/09/2019	European University Institute	EU relation
11/09/2019	T&E, WWF	Investors' ESG disclosure under SFDR
16/09/2019	ISDA	EMIR Refit and ISDA CDM
17/09/2019	FESE	Introduction meeting
18/09/2019	AFME	MiFID II/MiFIR
19/09/2019	AFME/KPMG	AFME Compliance committee and working group focused on surveillance and monitoring
25/09/2019	EFAMA	Undue short-termism in capital markets
26/09/2019	ICI Global	Investors' ESG disclosures under SFDR
26/09/2019	Euronext	Dara submission to FITRS for the APA
29/09/2019	MSCI	Liquidity metrics and MSCI products
30/09/2019	Large accounting firms	Accounting topics relevant for financial sector in 2019