

## ESMA Staff Stakeholder contacts between September and December 2023

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
05/09/2023	Zun Zu Lab	Crypto-assets data (transparency, fairness, and equity in data dissemination, market access, and price reporting)
05/09/2023	Gartner	Data use for risk indicators
06/09/2023	Stellar Development Foundation	Markets in Crypto-Assets Regulation (MiCA) implementation & guidelines on sustainability
13/09/2023	AlphaValue	Research under Market in Financial Instrument Directive (MiFID)
13/09/2023	Bundesverband Alternative Investments (BAI)	Markets in Crypto-Assets Regulation
14/09/2023	Tohoku University	Shareholder identification
14/09/2023	2 Degrees Investing Initiative	Greenwashing
15/09/2023	London School of Economics and Political Science	Blockchain, Artificial Intelligence

Date	Institution met	Main topics discussed
19/09/2023	Finance Watch	Joint ESA's Innovation survey (pilot) results
20/09/2023	Better Finance	Joint ESA's Innovation survey (pilot) results
22/09/2023	Institut Louis Bachelier	Sustainable finance, greenwashing, controversies data and regulation
22/09/2023	European Principal Traders Association (EPTA)	Pre-hedging, reduction of settlement cycle, cross-orders Q&A, single market making, outages opinion
22/09/2023	Intesa Sanpaolo	Use of artificial intelligence in securities markets
27/09/2023	European Principal Traders Association (EPTA)	Ongoing Retail Investment Strategy and ESMA's recent Opinion on the CNMV's product intervention measures
27/09/2023	BlackRock	FSB consultation on OEF, IOSCO consultation on LMTs and ESRB report on corporate bonds/RE
28/09/2023	Jane Street	Markets in Financial Instruments Regulation (MiFIR) review
28/09/2023	Alternative Investment Management Association (AIMA)	Short selling
28/09/2023	BDO, KPMG, Deloitte, Mazars, Ernst & Young (EY), PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Corporate reporting disclosures
29/09/2023	TRM Labs	Crypto-assets data

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03/10/2023	Bank of America	Carbon markets
03/10/2023	ICE	Urgentem database on Greenhouse gas (GHG) emissions
03/10/2023	CFA Institute	Greenwashing
07/10/2023	2 Degrees Investing Initiative	Greenwashing
09/10/2023	Robinhood	Markets in Crypto-Assets Regulation (MiCA), Retail Investment Strategy
12/10/2023	Association of Proprietary Traders (APT)	Investment Firms Directive (IFD), Investment Firms Regulation (IFR) and Pass Through Certificates (PTCs)
12/10/2023	GADV	Capital market and infrastructure risks
12/10/2023	TransFicc	Consolidated tape
13/10/2023	Standard & Poors (S&P)	European green bond standard (EUGBS)
13/10/2023	BDO, KPMG, Deloitte, Mazars, Ernst & Young (EY), PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Sustainability reporting
17/10/2023	International Capital Markets Association (ICMA)	Market in Financial Instrument Directive (MiFID) review, consolidated tapes

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18/10/2023	Six Digital Exchange	Distributed Ledger Technology (DLT) pilot regime
18/10/2023	Deutsche Boerse	European Market Infrastructure Regulation (EMIR) 3, Markets in Financial Instruments Regulation (MiFIR) review, Capital markets union (CMU)
18/10/2023	ISS Corporate Solutions	European green bond standard (EUGBS)
18/10/2023	Exane BNP Paribas	ESMA Trends, Risks and Vulnerabilities (TRV) article on Environmental, social and governance (ESG) fund names and claims in the European Union fund industry
20/10/2023	Sustainable Fitch	European green bond standard (EUGBS)
20/10/2023	Nasdaq/FI SE	Small and medium-sized enterprises (SMEs), SME Markets, regulatory developments.
23/10/2023	International Swaps and Derivatives Association (ISDA)	Unique product identifier (UPI)
23/10/2023	European Quality Assurance (EQA)	European green bond standard (EUGBS)
23/10/2023	DeBrauw Blackstone Westbroek	Prospectus Regulation exemptions
25/10/2023	Crypto Council for Innovation	Markets in Crypto-Assets Regulation (MiCA) implementation (transition and L2), staking and custody, decentralisation, Non-fungible tokens (NFTs)

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25/10/2023	Sustainalytics	European green bond standard (EUGBS)
27/10/2023	Cardano Foundation	Distributed Ledger Technology (DLT) and operational resilience
27/10/2023	CRYPTO.COM	Trade transparency under Markets in Crypto-Assets Regulation (MiCA)
27/10/2023	Revolut	Markets in Crypto-Assets Regulation (MiCA) authorisation, staking and custody
27/10/2023	Position Green	European green bond standard (EUGBS)
30/10/2023	Moody's Investor Services (MIS)	European green bond standard (EUGBS)
30/10/2023	Ethifinance	European green bond standard (EUGBS)
31/10/2023	Rimme.io	Sustainable Finance Disclosures Regulation (SFDR), compliance analysis software solution
03/11/2023	Euronext	Euro Interbank Offered Rate (EURIBOR) and Benchmarks Regulation (BMR) Review
03/11/2023	DNV	European green bond standard (EUGBS)
06/11/2023	Association for Financial Markets in Europe (AFME)	Discussion of ESMA Trends, Risks and Vulnerabilities (TRV) article: The EU securitisation market – an overview

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06/11/2023	Lianhe Ratings Global	European green bond standard (EUGBS)
07/11/2023	Kangaroo Group	Financial market risks
08/11/2023	Association Europe Finances Regulations	Sustainable finance, corporate sustainability reporting, transition plan disclosures
08/11/2023	Carbon Tracker	Corporate reporting disclosures
09/11/2023	MarketAxess	Markets in Financial Instruments Regulation (MiFIR) review
09/11/2023	Amazon Web Services (AWS)	Digital Operational Resilience Act (DORA)
09/11/2023	Nordic Securities Association (NSA)	Central Securities Depository Regulation (CSDR) refit and European Market Infrastructure Regulation (EMIR)
09/11/2023	Consensys, FTI Consulting	Decentralized Finance (DeFi) and Web3 market developments
09/11/2023	Hong Kong Institute for Monetary and Financial Research	Use of artificial intelligence in securities markets
10/11/2023	Bloomberg	Use of artificial intelligence in securities markets
13/11/2023	Fix Community	Market in Financial Instrument Directive (MiFID) review, algo and outages
14/11/2023	European Energy Exchange AG (EEX)	Electricity market design

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14/11/2023	Microsoft	Use of artificial intelligence in securities markets
15/11/2023	Responsum	Use of artificial intelligence in securities markets
16/11/2023	EUROCROWD	European Crowdfunding Service Providers Regulation (ECSPR)
16/11/2023	ICE	ICE database on Environmental, social and governance (ESG) bonds
16/11/2023	Nasdaq	Small and medium-sized enterprises (SMEs), SME Markets, regulatory developments.
16/11/2023	DVFA	Dissemination of investment recommendations via social media
17/11/2023	Moody's Investor Services (MIS)	Moody's data solution on net zero assessments
17/11/2023	Depository Trust and Clearing Corporation (DTCC)	United States move to T+1
20/11/2023	American Chamber of Commerce to the EU (AmCham EU)	Capital markets union (CMU), European Market Infrastructure Regulation (EMIR) review, European strategy for retail investors, digital finance, anti-money laundering package, Environmental, social and governance (ESG) ratings
20/11/2023	University of Hamburg	Natural Language Processing (NLP) tools for Environmental, social and governance (ESG) analysis

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22/11/2023	2 Degrees Investing Initiative; ETH Zurich	Natural Language Processing (NLP) tools for Environmental, social and governance (ESG) analysis
22/11/2023	International Accounting Standards Board (IASB)	Corporate reporting disclosures
23/11/2023	University of Surrey	Market abuse measures under Markets in Crypto-Assets Regulation (MiCA)
28/11/2023	Bloomberg, Responsum, Microsoft, McKinsey	Use of artificial intelligence in securities markets
29/11/2023	BSV Association	Tokenisation of financial instruments
30/11/2023	The Institute for International Finance	Sustainable finance, transition finance and plans
30/11/2023	Hashed	Discussion on the crypto-assets regulations in Europe and in South Korea
30/11/2023	European Fund and Asset Management Association (EFAMA)	United States move to T+1
01/12/2023	Sustainalytics	Environmental, social and governance (ESG) controversies data
01/12/2023	International Capital Markets Association (ICMA)	United States move to T+1
04/12/2023	European Association of CCP Clearing Houses (EACH)	5th ESMA Stress tests design
06/12/2023	Broadridge	ESMA report on second Shareholder Rights Directive (SRD2) review; ISO standards



Date	Institution met	Main topics discussed
11/12/2023	BDO, KPMG, Deloitte, Mazars, Ernst & Young (EY), PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Corporate reporting disclosures
12/12/2023	CEPS	Market developments and risks, Capital markets union (CMU), trading, value for money
12/12/2023	BusinessEurope	European Single Electronic Format (ESEF) work and experience
12/12/2023	Stichting Eumedion, ATP, Chamber of Fund and Asset Managers, Finnish Foundation for Share Promotion, Fédération des Investisseurs Individuels et des Clubs d'investissement (F2iC), Dr. Späth & Partner Rechtsanwälte, Mediobanca	Corporate Finance disclosure
12/12/2023	Baker & McKenzie Advokatbyrå KB, Irish Debt Securities Association, IFSP Malta, MBH Mortgage Bank Co. Plc., Allen & Overy Bratislava, s.r.o., Polish Association of Listing Companies, Polish chamber of brokerage houses, HSBC Deutschland, Hannes Snellman Attorneys Ltd, AFEP, Uria Menendez, Eunomia, Kirkland & Ellis International LLP, Assonime - Mercato dei capitali e società quotate, Kocian Solc Balastik, advokatni kancelar	Corporate Finance disclosure
12/12/2023	APARMA	Upcoming Regulatory technical standards (RTSs) and Guidelines, Markets in Financial Instruments Regulation (MiFIR) review, consolidated tape provider (CTP) related items

Date	Institution met	Main topics discussed
14/12/2023	Morgan Stanley	ESMA Trends, Risks and Vulnerabilities (TRV) article on Environmental, social and governance (ESG) fund names and claims in the EU fund industry
14/12/2023	BDO, KPMG, Deloitte, Mazars, Ernst & Young (EY), PricewaterhouseCoopers (PwC), Grant Thornton (GT)	Sustainability reporting
14/12/2023	Watershed	Sustainability reporting