

ESMA Staff Stakeholder contacts between April and August 2023

ESMA is committed to the highest standards of transparency in its interactions with stakeholders. ESMA will therefore publish information on ESMA staff meetings with external stakeholders.

Date	Institution met	Main topics discussed
03/04/2023	Euroclear	Central Securities Depository Regulation (CSDR) settlement efficiency, T+1 settlement, Distributed Ledger Technology (DLT) Pilot
07/04/2023	International Swaps and Derivatives Association (ISDA)	Structure and functioning of EU energy derivatives markets
12/04/2023	Broadridge	Shareholders Rights Directive 2 (SRD2) implementation report
12/04/2023	European Associations of Corporate Treasurers (EACT)	Exemption to the reporting of intragroup transactions in European Market Infrastructure Regulation (EMIR)
13/04/2023	Inatba	Crypto-assets and Markets in Crypto-Assets Regulation (MiCA)
14/04/2023	Crypto Carbon Ratings Institute (CCRI)	Markets in Crypto-Assets Regulation (MiCA) sustainability disclosure mandate
17/04/2023	Bloomberg	Bloomberg's sanctions product

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17/04/2023	European Principal Traders Association (EPTA)	Pre-hedging, pre-trade transparency, pre-trade controls and market outages
18/04/2023	Bundesverband Investment und Asset Management (BVI)	Fund risks
18/04/2023	The Money Awareness and Inclusion Awards	Financial Education
19/04/2023	Vanguard	5th ESMA Cost and performance Report and Vanguard study on cost of investing
20/04/2023	Bloomberg, ISS ESG, MSCI, Sustainalytics	Sustainable Finance Disclosures Regulation (SFDR)
20/04/2023	Paris EUROPLACE	Capital markets union (CMU) and Sustainable Finance
20/04/2023	APARMA	Introductory meeting
21/04/2023	Managed Funds Association (MFA)	Short Selling Regulation (SSR) and Markets in Financial Instruments Regulation (MiFIR)
24/04/2023	Cboe	Consolidated tape and transparency
25/04/2023	SIX Group	SIX Group strategy, Markets in Financial Instruments Regulation (MiFIR) review, Central Securities Depository Regulation (CSDR) Refit and T+1

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25/04/2023	Citi	Markets in Crypto-Assets Regulation (MiCA), Distributed Ledger Technology (DLT) Pilot, Digital Operational Resilience Act (DORA), Environmental, social and governance (ESG) and Consolidated Tape
26/04/2023	Association for Financial Markets in Europe (AFME)	Shareholders Rights Directive 2 (SRD2) implementation report
26/04/2023	Accountancy Europe	Corporate reporting disclosures
04/05/2023	International Accounting Standards Board (IASB)	Corporate reporting disclosures
05/05/2023	RepRisk	Environmental, social and governance (ESG) controversies: Methodology and data extraction
07/05/2023	World Economic Forum	Sustainability and crypto-assets
10/05/2023	BNPP Asset Management	Fund Names Guidelines, Sustainable Finance Disclosures Regulation (SFDR), Fund liquidity and Alternative Investment Fund Managers Directive (AIFMD) review
10/05/2023	Oliver Wyman	Innovation
11/05/2023	World Gold Council	Applicable Markets in Crypto-Assets Regulation (MiCA) requirements to tokenised gold

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11/05/2023	Euroclear	Digital Operational Resilience Act (DORA) and oversight framework
12/05/2023	Circle	Markets in Crypto-Assets Regulation (MiCA) implementation and Distributed Ledger Technology (DLT) Pilot Regime
12/05/2023	Euronext	Digital Operational Resilience Act (DORA) and oversight framework
12/05/2023	IFRS Foundation	Corporate reporting disclosures
15/05/2023	BEUC, Finance Watch	Launch of the European Supervisory Authorities' (ESAs) innovation monitoring survey
15/05/2023	University Groningen	Environmental, social and governance (ESG) regulatory developments
15/05/2023	Amazon, Satispay	Launch of the European Supervisory Authorities' (ESAs) innovation monitoring survey
16/05/2023	CFA Institute	Ethics challenges and CFA strategy on professional ethics
20/05/2023	Financial Markets Standards Board (FMSB)	FMSB data gathering exercise on Pre-Hedging
22/05/2023	Standard & Poor's	Digital Operational Resilience Act (DORA) and oversight framework
23/05/2023	Coinbase	Markets in Crypto-Assets Regulation (MiCA) Level 2 Texts

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26/05/2023	Blackrock	Digital Operational Resilience Act (DORA) and oversight framework
02/06/2023	European Consumer Centre (ECC)	Digital Operational Resilience Act (DORA) and oversight framework
08/06/2023	Uniswap	Decentralised finance, crypto assets
09/06/2023	Immutable Insight	Markets in Crypto-Assets Regulation (MiCA) sustainability mandate
12/06/2023	International Securities Lending Association (ISLA)	Settlement efficiency, T+1, digital projects
13/06/2023	Carbon Tracker	Corporate reporting disclosures
14/06/2023	OpenBrick	DLT Pilot regime application
21/06/2023	Mitsubishi UFJ Financial Group (MUFG)	Draft MUFG Transition WhitePaper 2.0., Sustainable Finance and current legislative developments in the EU
21/06/2023	Natixis Investment Managers	Capital markets union (CMU)
21/06/2023	BDO, Deloitte, Ernst & Young (EY), Grant Thornton (GT), KPMG, Mazars, PricewaterhouseCoopers (PwC),	Corporate reporting disclosures
22/06/2023	Arendt	European long-term investment funds (ELTIF)

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23/06/2023	IFRS Foundation	Corporate reporting disclosures
27/06/2023	Franklin Templeton Investments	Sustainable Finance, Sustainable Finance Disclosures Regulation (SFDR), Fund naming guidelines
28/06/2023	Solidus Labs	Market abuse in crypto-assets and Markets in Crypto-Assets Regulation (MiCA)
28/06/2023	Schroders	Retail Investment Strategy
28/06/2023	Morrow Sodali	Proxy-advisors, Environmental, social and governance (ESG) Ratings, company reporting, investor voting
28/06/2023	Bloomberg	EU Taxonomy disclosures
03/07/2023	ICE Endex	EU gas derivatives markets
03/07/2023	McKinsey Global Institute	Financial market risks and analytical methods
04/07/2023	KBW/Zielke RC	International Financial Reporting Standards (IFRS) 17 application
05/07/2023	Citi	Digital Operational Resilience Act (DORA) and oversight framework
05/07/2023	WSD	Structured retail products data services

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06/07/2023	Depository Trust and Clearing Corporation (DTCC)	United States move to T+1
06/07/2023	Association for Financial Markets in Europe (AFME)	T+1 and settlement efficiency data
07/07/2023	European Savings Banks Group (ESBG)	Retail Investment Strategy
07/07/2023	International Capital Markets Association (ICMA)	Sustainability disclosure in prospectuses and Progress report on Greenwashing
12/07/2023	Securitize	Distributed Ledger Technology (DLT) Pilot Regime application
13/07/2023	Federation of European Securities Exchanges (FESE)	Markets in Financial Instruments Regulation (MiFIR) review
13/07/2023	BNP Paribas	Global regulatory developments
17/07/2023	NFT coalition, Ubisoft, Sorare	Classification of crypto-assets
19/07/2023	Blockchain for Europe	Crypto-assets and sustainability Regulatory technical standards (RTS)
20/07/2023	Refinitiv Lipper data provider	Fund data
20/07/2023	Boerse Stuttgart Digital (BSD)	Markets in Crypto-Assets Regulation (MiCA) Regulatory technical standards (RTS) on sustainability and staking under Markets in Crypto-Assets Regulation (MiCA)

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20/07/2023	INREV	Sustainable Finance Disclosures Regulation (SFDR), Investment Funds
21/07/2023	London School of Economics, LUISS university	Research project on investors engagement
21/07/2023	Coinhouse	Markets in Crypto-Assets Regulation (MiCA)
25/07/2023	Ethifinance	Environmental, social and governance (ESG) ratings
26/07/2023	Moody's Investor Services (MIS)	Moody's data solutions on climate risks and net zero assessments
26/07/2023	WallStreetDocs (WSD)	Structured retail products data services
27/07/2023	Gotoinnovation/Boixo	Markets in Crypto-Assets Regulation (MiCA) Regulatory technical standards (RTS) on sustainability and staking under Markets in Crypto-Assets Regulation (MiCA)
06/08/2023	Euroclear	Euroclear D-FMI Project
10/08/2023	London School of Economics (LSE)	LSE Master dissertation on regulatory failures in the Wirecard Scandal
17/08/2023	Floodlight	European Green Bonds (EuGB) Regulation
22/08/2023	S64 Capital Innovation, Arendt	Redemption policy/liquidity under European Long-Term Investment Fund (ELTIF) Regulation

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25/08/2023	DeBrau Blackstone Westbroek	Prospectus Regulation exemptions
30/08/2023	Weave.AI	Natural Language Processing (NLP) and ESG topic modelling
30/08/2023	Carbon Tracker	Corporate reporting disclosures
30/08/2023	Ernst & Young (EY)	Data protection